



# Principles for Responsible Investment Summary Assessment

At TD Asset Management Inc. (TDAM), we believe sustainability is a long-term investment. Our sustainable investing approach sets out a framework for ESG integration across asset classes. Our ESG Engagement Committee, comprised of diverse and seasoned professionals, oversees our ESG strategy and efforts.

The UN Principles for Responsible Investment (PRI) assessment<sup>1</sup> is an annual scorecard report provided to signatories of the PRI, based on the reporting of their responsible investing activity throughout the year. The reporting is compulsory for all signatories, helping to ensure (i) accountability; (ii) standardized transparency among signatories; and (iii) continuous improvement, as signatories are able to gauge their performance relative to their peers and industry.

## **TDAM 2021 PRI Assessment Summary**

In 2022, the PRI released an assessment of TDAM's 2020 performance, with the next scheduled assessment – evaluating our more recent performance - anticipated for release in 2023. Note that the scoring methodology has changed to reflect the new 2021 Reporting Framework and is not comparable with scores from previous PRI years. The summary below includes modules for which TDAM has received an assessment and excludes any modules for which there has been no assessment.

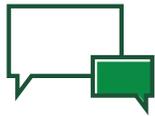
# Summary

Assets Under Management	Module Name	Star Score	Module Score (out of 100)
-	Investment & Stewardship Policy	★★★★★	68
< 10%	Direct - Listed Equity - Active Quantitative - Incorporation	★★★★	61
10-50%	Direct - Listed equity - Active Fundamental - Incorporation	★★★★★	69
< 10%	Direct - Listed equity - Passive - Incorporation	★★★★	49
< 10%	Direct - Listed equity - Active Quantitative - Voting	★★★	39
10-50%	Direct - Listed equity - Active Fundamental - Voting	★★★	39
< 10%	Direct - Listed equity - Passive - Voting	★★★	39
10-50%	Direct - Fixed income – SSA	★★★★★	81
10-50%	Direct - Fixed income - Corporate	★★★★★	78
< 10%	Direct - Fixed income - Private Debt	★★★★★	90
< 10%	Direct - Real Estate	★★★★★	98
< 10%	Direct - Infrastructure	★★★★★	75
< 10%	Indirect - Listed equity - Active	★★	16

The modules are scored with a numerical grading system ranging from 1 to 5 stars. The lowest possible grade is one star, allocated to those whose responsible investment behaviour is at the lower end of what is expected from signatories. The highest score is five stars and awarded to those signatories who demonstrate leading practices within the responsible investment industry. For greater detail on the assessment methodology, [visit the UN PRI website >](#). The high-level assessment methodology can be found [here >](#), and question-level assessment criteria can be found after each indicator in the Investor Reporting Framework, [here >](#).

Our 2020 ESG practices fueling these scores can be found in [TDAM's 2021 PRI Transparency Report >](#) available on the UN PRI website.





For more information on ESG at TDAM, including previous PRI Transparency Reports, please visit the [TDAM Sustainable Investing page >](#)

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