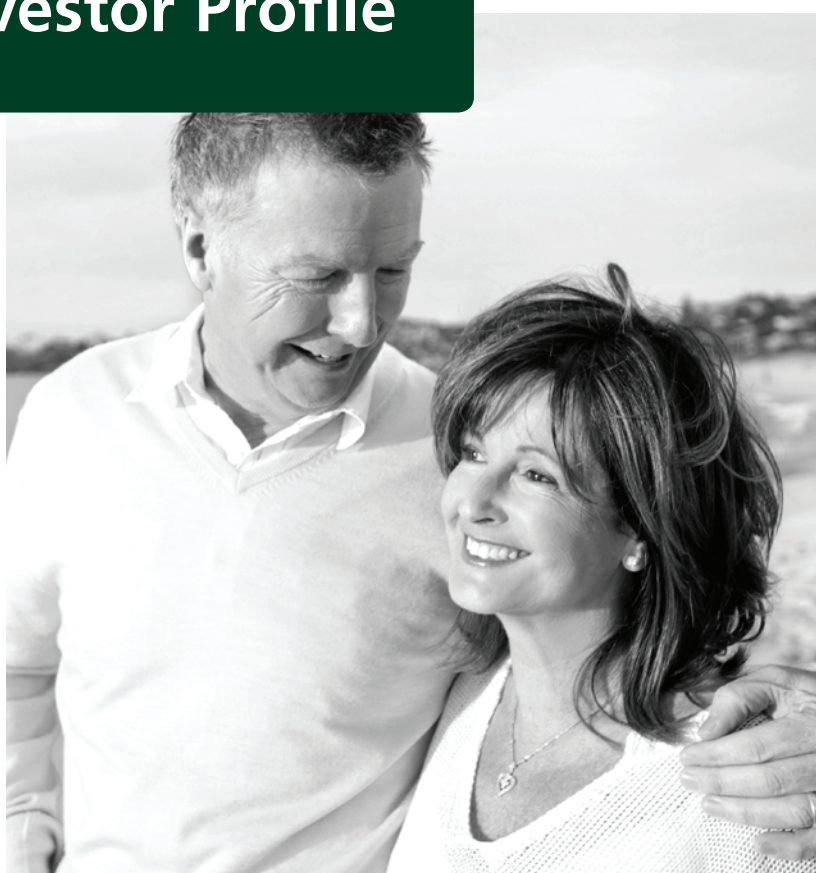




Customer Investor Profile



Increase your potential for higher returns.

This easy-to-use questionnaire has been provided to help you and your Mutual Funds Representative¹ create an accurate Investor Profile based on your investment needs, objectives, time horizon and tolerance for risk. The answers you provide will be used to assist your Mutual Funds Representative in recommending a well-diversified portfolio of mutual funds that suits your needs.



The following questions will help us understand your financial picture and goals. If you have more than one goal – such as retirement, your children's education or a new home – complete a separate questionnaire for each goal.

A. GENERAL QUESTIONS

The following questions will provide us with important information about your investment knowledge and current financial situation, which will ensure that the recommendations we make are in line with your financial circumstances.

1. I describe my understanding about investing as:

- * Limited: I don't understand much about investing.
- * Average: I understand the difference between GICs, bonds and stocks
- * Above Average: I understand how capital markets work and the different investments available.
- * Sophisticated: I have a strong understanding of investing.
I understand the risk/return trade-offs associated with investing in both Canadian and foreign markets.

2. What is the approximate value of all investment accounts, including investments held at other institutions?

- | | |
|--|--|
| <input type="checkbox"/> Under \$25,000 | <input type="checkbox"/> \$100,000 - \$499,999 |
| <input type="checkbox"/> \$25,000 - \$49,999 | <input type="checkbox"/> \$500,000 - \$999,999 |
| <input type="checkbox"/> \$50,000 - \$99,999 | <input type="checkbox"/> Over \$1,000,000 |

3. Your Personal Net Worth is calculated by adding your estimated Liquid Assets (i.e. Investments, Cash) and estimated Fixed Assets (i.e. Real Estate), minus your estimated Total Liabilities (i.e. Mortgage, Car Loans)

What are your:

Liquid Assets \$ _____

+ Fixed Assets \$ _____

= Total Assets \$ _____

- Total Liabilities \$ _____

= Estimated Personal Net Worth \$ _____

4. What is your personal annual income?

- | | |
|--|---|
| <input type="checkbox"/> Under \$25,000 | <input type="checkbox"/> \$75,000 - \$125,000 |
| <input type="checkbox"/> \$25,000 - \$49,999 | <input type="checkbox"/> Over \$125,000 |
| <input type="checkbox"/> \$50,000 - \$74,999 | |

5. What is your current age?

_____ yrs

B. PORTFOLIO OBJECTIVES

The following questions will help define your investment goal for this particular account. This may include your desire for preservation of capital, need for investment income or interest in growing your investment.

6. Which of the following best describes your investment objective for this account?

- ☐ a. I do not need to grow my investment, but want to preserve its value and be able to access it on short notice
- ☐ b. My only need is to generate income while minimizing fluctuations in the value of my investments
- ☐ c. I may have some need for income from my investment, but am also interested in growing it
- ☐ d. I would like growth and have minimal income needs at this time
- ☐ e. I am only interested in maximizing growth

7. How important is it for you to keep a portion of your account in relatively safe investments with minimal fluctuations?

- ☐ a. Of critical importance
- ☐ b. Very important
- ☐ c. Important
- ☐ d. Somewhat important
- ☐ e. Unimportant

C. TIME HORIZON

Your Time Horizon is the length of time required to achieve your investment goal. This can be broken down in two stages:

- I. Years to save towards your goal
- II. Years to spend (withdrawal)

8. (a) How many years do you expect to be saving before beginning to withdraw from this account?

- ☐ a. I am no longer saving
- ☐ b. Less than 3 years
- ☐ c. Between 3 and 5 years
- ☐ d. Between 6 and 10 years
- ☐ e. Over 10 years

(continued on the next page)

C. TIME HORIZON (cont'd)

(b) After reaching your saving goal, indicate the approximate number of years you plan to make withdrawals:

☐

- a. I don't plan to withdraw
- b. Less than 3 years
- c. Between 3 and 5 years
- d. Between 6 and 10 years
- e. More than 10 years

D. RISK TOLERANCE

There is always some degree of uncertainty (investment risk) associated with the growth that may be generated over any future period of time. Investment risk may be defined as the potential variation from the expected rate of return over a given period. The higher the return you are seeking, the more risk you must be prepared to assume.

9. Which of the following best describes the type of investments you currently own, or have owned in the past?

☐

- a. This is my first time investing
- b. I own or have owned mostly GICs and Term Deposits
- c. I own or have owned mostly bonds or other fixed income investments
- d. I own or have owned a mixture of GICs, Term Deposits and/or mutual funds
- e. I own or have owned mostly stocks or equity mutual funds

10. Which of the following hypothetical portfolios would you feel comfortable holding?



Note: These portfolios assume no withdrawals and that all income is reinvested

☐

- Portfolio A: Little fluctuations and lowest return potential after 10 years
- Portfolio B: More return fluctuations, but higher return potential after 10 years
- Portfolio C: Significant return fluctuations and may include periods of significant decreases in portfolio value, but greatest return potential after 10 years

11. Investments with higher returns typically involve greater risk. The following four hypothetical portfolios started the year with \$10,000. Which portfolio outcome would you feel comfortable with at the end of the year?

Potential Value of Investment After 1 Year


☐

- a. Portfolio A: May rise in value between \$10,140 and \$10,200
- b. Portfolio B: May rise in value to a maximum of \$10,800 or drop to a low of \$9,400
- c. Portfolio C: May rise in value to a maximum of \$11,500 or drop to a low of \$8,500
- d. Portfolio D: May rise in value to a maximum of \$12,500 or drop to a low of \$7,500

TD Comfort Portfolios – The minimum lump-sum investment in any TD Comfort Portfolio is \$100 and the minimum Pre-Authorized Purchase Plan amount for any TD Comfort Portfolio is \$25.

No changes are allowed to the fund selection or the percentage of the portfolio each fund represents.

TD Mutual Funds Single Fund Solutions – The minimum lump-sum investment in any individual fund is \$100 and the minimum Pre-Authorized Purchase Plan amount for any individual fund is \$25.

1 – Safety

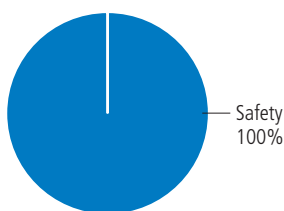
Description:

Your tolerance for portfolio volatility and loss of capital is **very low**. A portfolio with this objective will seek to earn income and at the same time preserve capital by investing only in conservative fixed income securities. Your investment time horizon is up to three (3) years.

Investor Profile

Investment Objective:
Safety: 100%

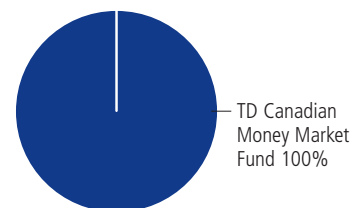
Risk Level:
Very Low



TD Comfort Portfolio

There is no TD Comfort Portfolio for this Investor Profile. Your needs are best met by the Single Fund Solution shown to the right.

TD Mutual Funds Single Fund Solution



2 – Conservative Income

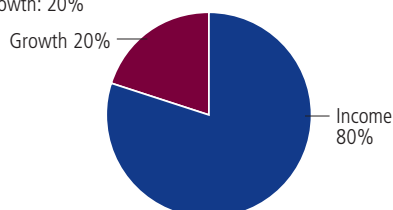
Description:

Your tolerance for portfolio volatility and loss of capital is low. You are willing to tolerate some short-term fluctuations in your investment returns with small losses of capital in exchange for modest potential capital appreciation. A portfolio with this objective will seek to provide some income, with capital appreciation as a secondary objective. Your investment time horizon is three (3) to five (5) years.

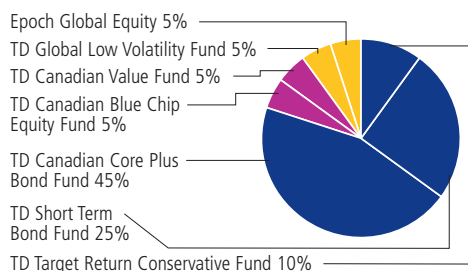
Investor Profile

Investment Objective:
Income: 80%
Growth: 20%

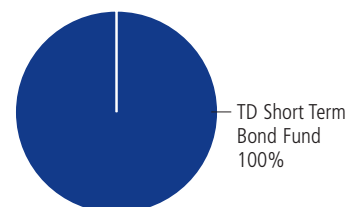
Risk Level:
Low



TD Comfort Conservative Income Portfolio



TD Mutual Funds Single Fund Solution



3 – Balanced Income

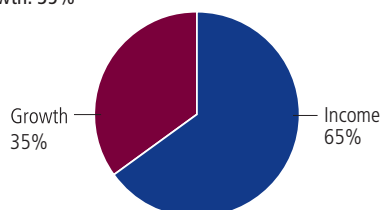
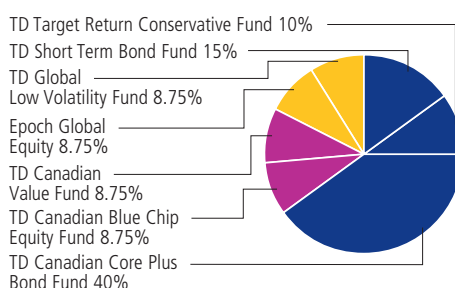
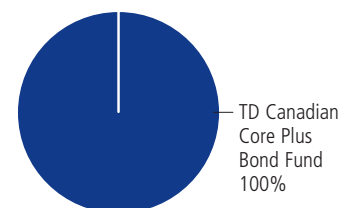
Description:

Your tolerance for portfolio volatility and loss of capital is **low-to-medium**. You are willing to tolerate short-term fluctuations in your investment returns and small-to-moderate losses of capital in exchange for potential capital appreciation. A portfolio with this objective will seek to provide a moderate level of income with some potential for capital appreciation. Your investment time horizon is three (3) to five (5) years.

Investor Profile

Investment Objective:
Income: 65%
Growth: 35%

Risk Level:
Low-Moderate

**TD Comfort Balanced Income Portfolio****TD Mutual Funds Single Fund Solution**

4 – Balanced

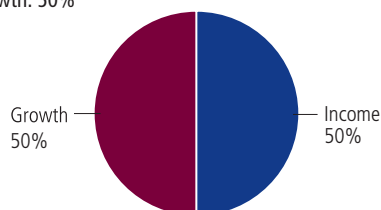
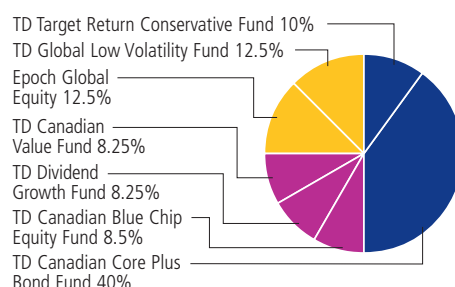
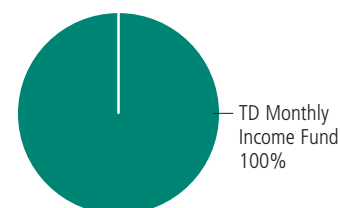
Description:

Your tolerance for portfolio volatility and loss of capital is **medium**. You are willing to tolerate some fluctuations in your investment returns and moderate losses of capital, but you are looking for a stable pattern of growth over the long-term. A portfolio with this objective will seek to provide a combination of modest income and long-term capital growth. Your investment time horizon is five (5) years or longer.

Investor Profile

Investment Objective:
Income: 50%
Growth: 50%

Risk Level:
Moderate

**TD Comfort Balanced Portfolio****TD Mutual Funds Single Fund Solution**

5 – Balanced Growth

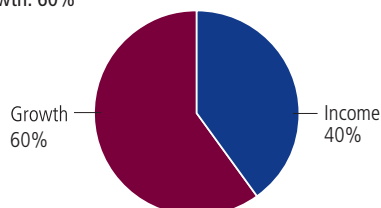
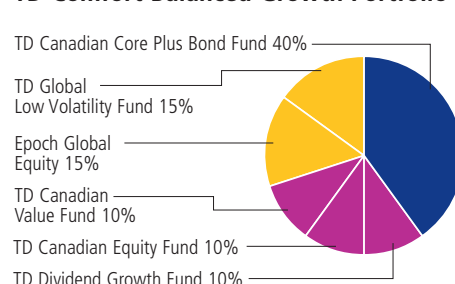
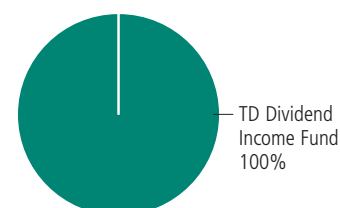
Description:

Your tolerance for portfolio volatility and loss of capital is **medium-to-high**. You are willing to tolerate some fluctuations in your investment returns and moderate losses of capital, but you prefer a stable pattern of growth over the long-term. A portfolio with this objective will seek to provide long-term capital growth and modest income. Your investment time horizon is five (5) years or longer.

Investor Profile

Investment Objective:
Income: 40%
Growth: 60%

Risk Level:
Moderate-High

**TD Comfort Balanced Growth Portfolio****TD Mutual Funds Single Fund Solution**

6 – Growth

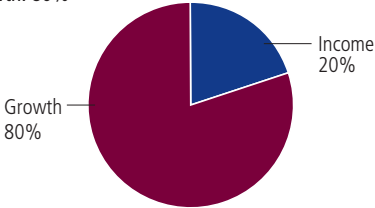
Description:

Your tolerance for portfolio volatility and loss of capital is **high**. You are willing to tolerate fluctuations in your investment returns and moderate-to-large losses of capital in exchange for potential long-term capital appreciation. A portfolio with this objective will seek to provide long-term capital growth with some potential for earning a modest level of income. Your investment time horizon is five (5) years or longer.

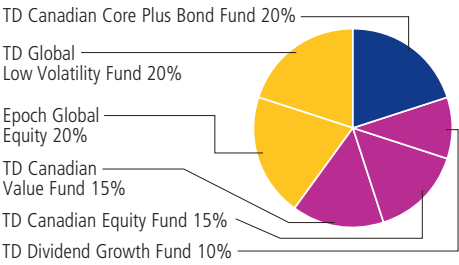
Investor Profile

Investment Objective:
Income: 20%
Growth: 80%

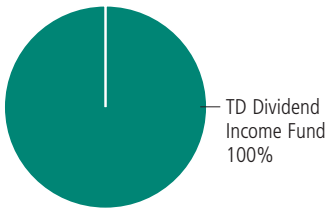
Risk Level:
High



TD Comfort Growth Portfolio



TD Mutual Funds Single Fund Solution



7 – Aggressive Growth

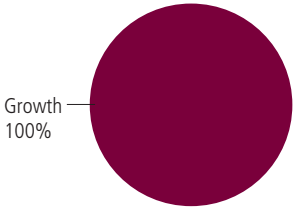
Description:

Your tolerance for portfolio volatility and substantial loss of capital is **very high**. You are willing to tolerate large fluctuations in your investment returns and large losses of capital in exchange for potential long-term capital appreciation. You are solely focused on maximizing above market returns, which may include speculative trading. A portfolio with this objective will seek to provide long-term capital appreciation. Your investment time horizon is five (5) years or longer.

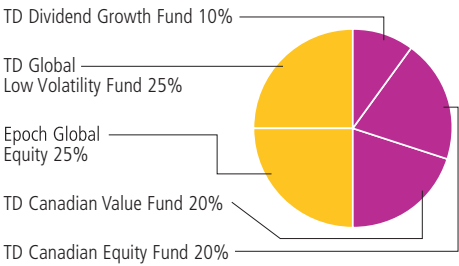
Investor Profile

Investment Objective:
Growth: 100%

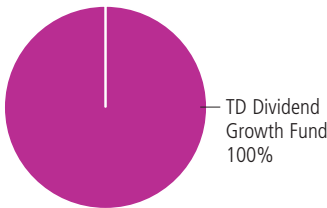
Risk Level:
High



TD Comfort Aggressive Growth Portfolio



TD Mutual Funds Single Fund Solution



**For more information, please speak with
a Mutual Funds Representative
at your TD Canada Trust branch, call 1-800-281-8029
or visit tdcanadatrust.com/mutualfunds**



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