

Summary of TD Bank Group's Responsible Procurement Policy

- The Responsible Procurement Policy came into effect on November 1, 2012. It is managed by TD's Strategic Sourcing Group (SSG).
- The policy commits that TD will, wherever possible, source products and services that safeguard human rights, ethics and the environment and buy them from suppliers that have responsible policies and practices in these areas.
- Prospective suppliers are required to complete a questionnaire about their policies and practices including:
 - Corporate responsibility performance;
 - Health and Safety policies and performance;
 - Human rights and labour practices, including child or forced labour;
 - Diversity and inclusion;
 - Code of conduct, ethics, anti-bribery, anti-corruption; and
 - Environmental sustainability efforts.
- Depending upon the product or service being sourced, additional questions and scrutiny may be required. This will be determined by a risk matrix which considers the social, ethical and environmental risks inherent in the commodity and/or industry.
- If a questionnaire includes flagged responses, SSG will seek guidance and recommendations from TD Environment, Human Resources or Corporate Responsibility.
- Exceptions to the policy must be reviewed and approved by the Reputational Risk Committee before purchasing or vendor engagement can begin.

Policy Owner: Chief Procurement Officer

Executive Sponsor: Group Head, Corporate Shared Services

